



PTO/SB/82 (05-03)  
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# REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	10/023,843
Filing Date	December 17, 2001
First Named Inventor	Xu, L.
Art Unit	
Examiner Name	
Attorney Docket Number	01PAR005

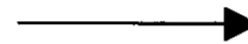
I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application.

☒ A power of Attorney or Authorization of Agent is submitted herewith.

OR

☐ Please change the correspondence address for the above-identified application to:

☐ Customer Number



Place Customer  
Number Bar Code  
Label here

OR

☐ Firm or  
Individual Name

Address

Address

City

Country

State

Zip

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## SIGNATURE of Applicant or Assignee of Record

Name

James W. McGinley

Signature

*James W. McGinley*

Date

5/16/03

Telephone

(708) 867-9600

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/81 (05-03)

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**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

Application Number	10/023,843
Filing Date	December 17, 2001
First Named Inventor	Xu, L.
Title	Lens array for use in ...
Art Unit	
Examiner Name	
Attorney Docket Number	01PAR005

I hereby appoint:

☐ Practitioners at Customer NumberPlace Customer  
Number Bar Code  
Label here

OR

☒ Practitioner(s) named below:

Name	Registration Number
Karl D. Kovach	40,278
Steven Evans	35,503
Robert M. Barrett	30,142
David Newman	37,196

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number.Place Customer  
Number Bar Code  
Label here

OR

<input checked="" type="checkbox"/> Firm or Individual Name	Karl D. Kovach				
Address	Stratos Lightwave, Inc.				
Address	7444 West Wilson Avenue				
City	Chicago	State	Illinois	Zip	60706
Country	USA				
Telephone	(708) 867-9600	Fax	(708) 867-7690		

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name	James W. McGinley		
Signature	<i>James W. McGinley</i>		
Date	5/16/02	Telephone	(708) 867-9600

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Xu, et al./ Stratos Lightwave, Inc.Application No./Patent No.: 10/023,843Filed/Issue Date: December 17, 2001Entitled: Lens Array for Use in Parallel Optics Modules for Fiber Optics Communications

Stratos Lightwave, Inc., a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %.  
in the patent application/patent identified above by virtue of either:
- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Lee L. Xu To: Paracer, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: Brian Kim To: Paracer, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: Darren Crews To: Paracer, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: a separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. SEE MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

5/16/03  
Date  
(708) 867-9600  
Telephone number

James W. McGinley  
Typed or printed name  
James W. McGinley  
Signature  
President, CEO of STRATOS LIGHTWAVE, INC.  
Title

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TECHNOLOGY CENTER  
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SUPPLEMENTAL SHEET IN SUPPORT OF FORM PTO/SB/96

Statement under 37 CFR 3.73(b)

Applicant/Patent Owner: Xu, et al. / Stratos Lightwave, Inc.

Application No./Patent No.: 10/023,843 Filed/Issue Date: December 17, 2001

Entitled: Lens Array for Use In Parallel Optics Modules for Fiber Optics Communications

Stratos Lightwave, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation partnership, university, government agency, etc.)

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

4. From: Paracer, Inc. To: Stratos Lightwave, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

RECEIVED  
MAY 22 2003  
TECHNOLOGY CENTER 2800

**ASSIGNMENT OF PATENT APPLICATION AND INVENTION**

WHEREAS, **Lee L. Xu**, of **Cupertino**, State of California, and **Brian Kim** of **Fremont**, State Of California, and **Darren Crews** of **Cupertino**, State of California hereinafter referred to as "inventors", have invented certain new and useful improvements in:

**Lens Array For Use In Parallel Optics Modules For Fiber Optics**

**Communications**

which invention or inventions (hereinafter referred to as "invention") have been disclosed to and filed, under Docket No. **01PAR005**, in the patent files of the inventor's employer, **PARACER, INC.**, and which invention is covered by a patent application, executed and filed concurrently herewith.

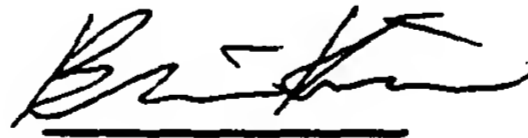
It is now agreed that, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said inventors have sold, assigned and transferred and by these presents sell, assign and transfer unto **PARACER, INC.**, the entire right, title and interest in and to said invention and said application and any patents which may be granted therefore and any divisions, continuations, substitutions or reissues of such application or patent, for the territory of the United States of America and for all foreign countries; said invention and application to be held and enjoyed by the said, **PARACER, INC.**, for its own use and for the use of its successors and assigns, to the full end of the term for which Letters Patent on same may be granted, as fully and entirely as the same would have been held by said inventors had this assignment and sale not been made.

Executed at City of Santa Clara, County of Santa Clara and State of California, this 17th day of **December, 2001**.

**Lee L. Xu**



**Brian Kim**



**Darren Crews**



## PATENT APPLICATION ASSIGNMENT

THIS PATENT APPLICATION ASSIGNMENT ("Assignment") is made as of January 28, 2003 by Paracer, Inc., a Delaware corporation having its principal place of business at 1371 Shorebird Way, Mountain View, California 96043 (the "Assignor"), in favor of Stratos Lightwave, Inc., a Delaware corporation having its principal place of business at 7444 West Wilson Avenue, Chicago, Illinois 60706 (the "Assignee").

WHEREAS, Assignor is the owner of record of the U.S. patent applications (collectively, the "Patent Applications") having the Serial Numbers disclosed below:

09/954,130;

09/954,133;

09/954,419;

10/023,843;

10/024,273;

10/237,384; and

10/237,823; and

WHEREAS, Assignor is wholly owned by Assignee.

NOW, THEREFORE, in consideration of the mutual promises contained herein, and for other good and valuable consideration, the receipt and sufficiency of which are hereby expressly acknowledged, the parties agree as follows:

1. Assignor hereby sells, assigns, conveys and transfers unto Assignee all right, title, and interest in, to and under the Patent Applications together with the entire right, title, and interest in and to all continuations, divisions, renewals, and extensions of the Patent Applications and all rights and privileges granted and secured thereby, including, without limitation, the right to sue and recover for any past or continuing infringement of the Patent Applications, as well as the right to seek any and all remedies available at law or in equity;

2. All right, title, and interest in the Patent Applications shall be held and enjoyed by Assignee, for its own use and benefit and for the use and benefit of its successors, assigns, and other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made. Without limiting the foregoing, Assignor assigns with the Patent Applications all associated income, royalties, damages, and payments due from or payable by any third party (including, without limitation, damages and payments for past, present, or future infringements or misappropriations thereof) and any and all corresponding rights that, now or hereafter, may be secured throughout the world; and

3. Assignor shall, without further consideration, execute any additional documents, bills of sale, assignments, recordation forms, or other instruments of transfer as may be reasonably requested to vest in Assignee clear and marketable title to the Patent Applications.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be executed as of the date first written above.

Paracer, Inc.

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title: Vice President and General Manager

State of \_\_\_\_\_ )

County of \_\_\_\_\_ )

SS:

9962  
2-25-03

Before me personally appeared said \_\_\_\_\_  
and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_  
day of \_\_\_\_\_, 2003.

SEE ATTACHED CERTIFICATE  
(Notary Public)

seal

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of SANTA CLARA

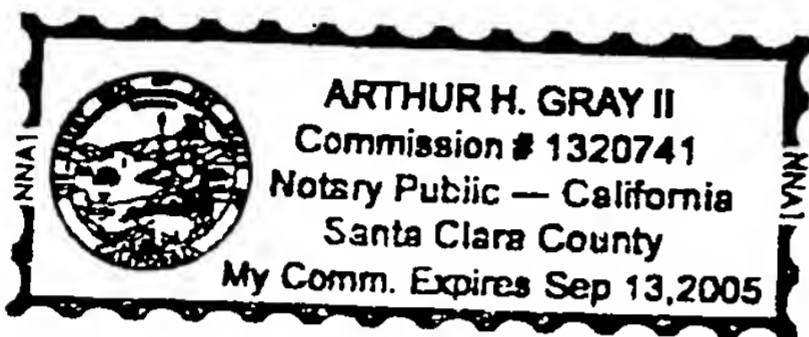
SS.

On FEB. 25, 2003 before me, ARTHUR H. GRAY II - NOTARY PUBLIC

personally appeared LEE L. XU

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

Name(s) of Signer(s)



☐ personally known to me  
☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Arthur H. Gray II

Signature of Notary Public

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: PATENT APPLICATION ASSIGNMENT

Document Date: 1-28-03 Number of Pages: 2

Signer(s) Other Than Named Above: N/A

### Capacity(ies) Claimed by Signer

Signer's Name: LEE L. XU

- ☐ Individual  
☒ Corporate Officer — Title(s): VICE PRESIDENT & GENERAL MANAGER  
☒ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here